#### Francis Keppel Award Criteria (page 1 of 3)

### Francis Keppel Award Criteria – Group 1 (Minimum points required for award = 25Maximum Points = 30)**Points Submission Points** Data Submission on or before March 22 10 Data Submission received March 23 through March 29 8 Data Submission received March 30 through April 5 6 4 Data Submission received April 6 through April 12 Data Submission received April 13 through April 19 2 Data Submission received after April 19 (Survey Due Date) Disqualified **Software Points** 4 Used WinPLUS Software **Content Points** Admin. File Matching the Outlet file: Submitted data that does not flag Edits 28, 29, 30, 31, 32, 33, 179, 184, 188 or 189 4 100% Response Items: Submitted data that does not flag Edits 04, 05, 06, 07, 13, 16, 17, 18, 19, 20, 21, or 178 3 Detail does not Equal Sum: Submitted data that does not flag Edits 42, 56, 78, 91, 103 or 120 2 Single Detail item greater than Total: Submitted data does not flag Edits 37 or 163 1 **Edit Follow-up Points** Responded to Edit Follow-up within two weeks 6 Responded to Edit Follow-up within three weeks Did not responded to Edit Follow-up Disqualified **Item Non Response**

NOTE: NCES makes the final decision on who receives the Keppel Award. A data submission includes a data file and an annotated edit report.

Disqualified

A data item that has been collected over 3 years is missing for an entire state

### Attachment C. Francis Keppel Award Criteria (page 2 of 3)

Francis Keppel Award Criteria – Group 2	
(Minimum points required for award = 25 Maximum Points = 30)	
	Points
Submission Points	
Data Submission on or before July 6	10
Data Submission received July 7 through July 13	8
Data Submission received July 14 through July 20	6
Data Submission received July 21 through July 27	4
Data Submission received July 28 through August 3	2
Data Submission received after August 3 (Survey Due Date)	Disqualified
Software Points	
Used WinPLUS Software	4
Content Points	
Admin. File Matching the Outlet file: Submitted data that does not flag Edits 28, 29, 30, 31, 32, 33, 179, 184, 188 or 189	4
100% Response Items: Submitted data that does not flag Edits 04, 05, 06, 07, 13, 16, 17, 18, 19, 20, 21, or 178	3
Detail does not Equal Sum: Submitted data that does not flag Edits 42, 56, 78, 91, 103 or 120	2
Single Detail item greater than Total: Submitted data does not flag Edits 37 or 163	1
Edit Follow-up Points	
Responded to Edit Follow-up within two weeks	6
Responded to Edit Follow-up within three weeks	4
Did not responded to Edit Follow-up	Disqualified
Item Non Response	

NOTE: NCES makes the final decision on who receives the Keppel Award. A data submission includes a data file and an annotated edit report.

## Attachment C. Francis Keppel Award Criteria (page 3 of 3)

Francis Keppel Award Criteria – Group 3						
(Minimum points required for award = 25 Maximum Points = 30)						
	Points					
Submission Points	_					
Data Submission on or before August 17	10					
Data Submission received August 18 through August 24	6					
Data Submission received August 25 through August 31	2					
Data Submission received after August 31 (Survey Due Date)	Disqualified					
Software Points						
Used WinPLUS Software	4					
Content Points	T					
Admin. File Matching the Outlet file: Submitted data that does not flag Edits 28, 29, 30, 31, 32, 33, 179, 184, 188 or 189						
100% Response Items: Submitted data that does not flag Edits 04, 05, 06, 07, 13, 16, 17, 18, 19, 20, 21, or 178						
Detail does not Equal Sum: Submitted data that does not flag Edits 42, 56, 78, 91, 103 or 120	2					
Single Detail item greater than Total: Submitted data does not flag Edits 37 or 163	1					
Edit Follow-up Points						
Responded to Edit Follow-up within two weeks	6					
Responded to Edit Follow-up within three weeks	4					
Did not responded to Edit Follow-up	Disqualified					
Item Non Response						
A data item that has been collected over 3 years is missing for an entire state	Disqualified					

NOTE: NCES makes the final decision on who receives the Keppel Award. A data submission includes a data file and an annotated edit report.

# Attachment D. FY 2003 Receipt Control Log (page 1 of 2) (revised to illustrate the application of the new Francis Keppel Award Criteria to the FY 2003 data collection)

Rece	Receipt Control Log			FY 2003 Submission Status									
Date: 9/24/2004 Public Libraries Survey, FY 2003							Edit follow-up statu						
(WinPLUS)					0:	riginal sub m	ission **						
FY 2002 Data received *	FY 2003 Data received *	Keppel Award Submission Points (Max 10)	State	Data file	Edit report	State Lib rarian Form	Keppel Award Software Points (Max 4)	Keppel Award Content Points (edit flag points) (Max 10)	Edit follow- up letter mailed***	Edit fo llow- up response	1 *	Clar	
Group #1 (Due Date 4/15/2004)													
02/20/2003	03/18/2004	10	Alaska	Ø	✓	<u>,</u>	4	10	03/25/2004	04/13/2004	4	04/:	
03/17/2003	03/25/2004	8	Arizona	X	X	<b>√</b>	4	10	04/13/2004	05/13/2004	0	05/3	
03/17/2003	03/18/2004	10	California	X	X	<b>✓</b>	4	9	03/23/2004	05/05/2004	0	05/0	
03/11/2003	03/01/2004	10	Connecticut	$\square$	$\square$	✓	4	3	03/05/2004	04/30/2004	0	05/0	
03/21/2003	03/18/2004	10	Delaware	X	X	<b>√</b>	4	6	03/19/2004	05/19/2004	0	05/2	
02/11/2003	01/21/2004	10	Georgia	$\square$	Ø	<b>√</b>	4	10	01/28/2004	02/18/2004	4	02/2	
02/26/2002	04/15/2004	2	Hawaii	$\square$	Ø	<b>√</b>	4	7	04/15/2004	04/19/2004	6		
02/21/2003	03/09/2004	10	Iowa	$\square$	Ø	<b>✓</b>	4	10	03/23/2004	03/31/2004	6	04/3	
02/20/2003	01/05/2004	10	Kentucky	$\square$	$\square$	✓	4	10	01/12/2004	01/13/2004	6	01/1	
03/10/2003	03/15/2004		Maryland	Ø	Ø	<b>√</b>	4	10	03/19/2004	03/31/2004	6		
02/11/2003	02/25/2004	10	Massachusetts	$\square$	$\square$	<b>√</b>	4	10	03/01/2004	04/15/2004	0	04/2	
03/18/2003	04/13/2004	2	Montana	X	X	<b>√</b>	4	7	04/14/2004	04/15/2004	6		
03/14/2003	03/18/2004	10	Nevada	X	×	<b>√</b>	4	6	04/06/2004	04/14/2004	6		
03/27/2003	03/11/2004	10	New Mexico	$\square$	$\square$	<b>√</b>	4	2	03/17/2004	04/23/2004	0	06/0	
03/14/2003	03/18/2004	10	North Carolina	X	X	<b>√</b>	4	10	03/25/2004	04/08/2004	6		
04/15/2003	04/09/2004	2	Oklahoma	$\square$	Ø	<b>√</b>	4	8	04/14/2004	06/01/2004	0	06/0	
03/18/2003	03/18/2004	10	Oregon	$\square$	Ø	<b>√</b>	4	10	04/02/2004	05/14/2004	0	05/3	
03/12/2003	03/18/2003	10	Rhode Island	X	X	<b>√</b>	4	7	04/14/2004	04/15/2004	6		
03/12/2003	01/30/2004	10	SouthCarolina	$\square$	Ø	<b>√</b>	4	10	02/03/2004	02/23/2004	4		
03/10/2003	03/04/2004	10	Tennessee	X	X	<b>√</b>	4	10	03/11/2004	03/31/2004	4	04/0	
03/04/2003	02/25/2004	10	Virginia	X	$\square$	<b>√</b>	4	10	02/26/2004	04/02/2004	0	04/0	
03/10/2003	03/12/2004	10	West Virginia	$\overline{\mathcal{A}}$	Ø	<b>√</b>	4	10	04/06/2004	04/27/2004	4	05/3	
01/06/2003	02/10/2004	10	Wyoming	$\mathbf{x}$	$\square$	✓	4	10	02/11/2004	03/11/2004	0		
						Group #	2 (Due D	ate 7/30/	2004)				
05/05/2003	05/07/2004	10	Alabama	X	<b>Ø</b>	<u> </u>	4	3	05/17/2004	05/24/2004	6	05/2	
07/01/2003	07/02/2004	10	Arkansas	×	×	<b>─</b> ✓	4	10	07/13/2004	09/20/2004	ō	T	
06/18/2003	06/17/2004	10	Colorado	X	X		4	10	06/23/2004	07/14/2004	4		
04/15/2003	04/05/2004	10	Dist. of Columbia	$\square$	Ø	<b>√</b>	4	10	04/15/2004	04/15/2004	6		

## Attachment D. FY 2003 Receipt Control Log (page 2 of 2) (revised to illustrate the application of the new Francis Keppel Award Criteria to the FY 2003 data collection)

R core	int Control	Log	110112000			- /		EV 2003	2 Cub mission	n Status		
	Receipt Control Log Date: 9/24/2004			FY 2003 Submission Status  Edit follow-up st								
		ries Survey,	EV 2003	l						I Eu	1 1011DM - 14	y Si
1 '		MinPLUS)	,11 2003	l	0.	iginal sub m i	locale as **					ı
(WHPLUS)						. Errer 200 W.				ı		
								Award				ı
		Keppel		l			Keppel	Content			Keppel	ı
		Award		l			Award	Points			Award	ı
FY 2002	FY 2003	Submission		l		State	Software	(edit flag	Edit follow-		Follow-	ı
Data	Data	Points (Max		Data	Edit	Lib rarian	Points	points)	up letter	Edit follow-	up Points	ı
received *	received *	10)	State	file	report	Form	(Max 4)	(Max 1θ)	mailed***	up response	(Max 6)	С
Group #2 (Due Date 7/30/2004) - continued												
06/25/2003	07/02/2004	10	Florida	Ø	$\square$	✓	4	10	07/06/2004	07/28/2004	0	(
07/02/2003	07/01/2004	10	Idaho	X	X	✓	4	10	07/02/2004	07/02/2004	6	$\Box$
07/03/2003	04/07/2004	10	Illinois	×	X		4	3	05/11/2004	06/01/2004	4	
06/25/2003	07/02/2004	10	Indiana	$\square$	$\square$	√.	4	7	07/13/2004	07/23/2004	6	
07/22/2003	07/22/2004	4	Kansas	Ø	Ø	✓	4	3	07/28/2004	07/29/2004	6	
06/30/2003	07/09/2004	8	Louisiana	X	X	<b>√</b>	4	10	07/14/2004	07/15/2004	6	
07/02/2003	06/28/2004	10	Maine	X	X	<b>\</b>	4	10	07/01/2004	07/21/2004	4	
08/11/2003	06/29/2004	10	Michigan	$\square$	$\square$	<b>\</b>	4	10	07/01/2004	07/09/2004	6	
07/30/2003	07/28/2004	2	Minnesota	X	$\square$	<b>*</b>	4	6	07/29/2004	08/10/2004	6	
06/24/2003	06/24/2004	10	Mississippi	X	X	<b>\</b>	4	10	06/29/2004	06/30/2004	6	L
07/30/2003	07/30/2004	2	Missouri	Ø	Ø	✓	4	6	08/03/2004		0	乚
05/09/2003	05/11/2004	10	Nebraska	$\square$	$\square$	✓	4	7	05/18/2004	06/01/2004	4	
07/31/2003	07/30/2004	2	New Hampshire	$\square$	$\square$		4	5	08/06/2004	08/27/2004	4	L
07/29/2003	07/30/2004	2	New York	$\square$	$\square$	<b>\</b>	4	3	08/10/2004		0	L
06/18/2003	06/09/2004	10	North Dakota	$\square$	Ø	✓	4	6	06/18/2004	07/08/2004	4	L
04/24/2003	06/30/2004	10	Ohio	X	X	✓.	4	7	07/13/2004	08/03/2004	4	
07/31/2003	07/30/2004	2	Pennsylvania	X	$\square$	<b>√</b>	4	7	08/04/2004	09/09/2004	0	
08/29/2003	08/30/2004		SouthDakota	V	✓	√	4		09/02/2004	09/03/2004		
05/28/2003	06/03/2004	10	Utah	X	X	✓	4	10	06/15/2004	06/22/2004	6	
03/04/2003	04/29/2004	10	V erm ont	×	X	<b>*</b> ,	4	7	05/20/2004	05/24/2004	6	
07/03/2003	06/30/2004	10	Washington	X	X	<b>*</b> ,	4	10	07/01/2004	07/03/2004	6	L
07/03/2003	07/02/2004	10	Wisconsin	$\square$	$\square$	✓	4	10	07/08/2004	07/20/2004	6	$\Box$
N/A	07/15/2004	6	CNMI	×	X		4	7	07/21/2004	07/22/2004	6	
N/A	06/14/2004	10	Guam	×	X	Ø	4	10	N/A		6	L
N/A		1	Puerto Rico			,						
06/24/2003	06/28/2004	10	U.S. Virgin Islands	✓		✓	4	10	N/A	l	6	
						Group #	3 (Due D	ate 8/31/				
08/06/2003	08/30/2004	2	New Jersey		$\square$	✓ -	4	3	09/02/2004	09/21/2002	4	
08/14/2003	08/13/2004	10	Texas	X	X	<b>√</b>	4	7	08/20/2004	08/30/2004	4	